

Date: / /

☐ **HDFC Bank DIFC Branch**
(Regulated by DFSA)

☐ **HDFC Bank Bahrain Branch**
(Regulated by CBB)

NAME OF THE ENTITY: _____

BAHRAIN CUSTOMER ID : _____

HONG KONG CUSTOMER ID : _____

Details	Name	Bahrain Cust ID	Hong Kong Cust ID
Authorised Signatory 1			
Authorised Signatory 2			
Authorised Signatory 3			

* If more than 3 signatories, cust id of all the signatories to be provided in a separate sheet in the above mentioned format

CUSTOMER ACCOUNT DETAILS:

Bahrain	Hong Kong

Business activity as per trade license: _____

Commercial Registration No: _____

Anticipated Monthly Transaction in the account (USD): _____

Annual Turnover (USD): _____

Business Net Worth (USD): _____

Whether customer classified as Pooled Fund ☐ Y ☐ N

Gross Annual Income (USD): _____

Name of the External Auditor: _____

If you are on-boarded to DIFC Branch, please provide the following information

Net Assets (USD): _____

Are you a Professional Client ☐ Y ☐ N

Whether the company issues Nominee / bearer shares ☐ Y ☐ N

Client Classification: Please check relevant criteria from "A", "B", and "C" below and provide required information:

(A) Assessed Professional Client Based on the Balance sheet:

Requirement:

- own funds or called up capital of at least USD 1 million; and
- sufficient experience and understanding of relevant financial markets, products or transactions and any associated risks

Own funds: means cash and investments as shown in the balance sheet;

Share capital: means all the amounts paid-up on allotted shares, less any amounts owing on allotted shares.

Particulars	Amount (USD) as per latest Balance sheet
Share Capital	
Cash & Bank Balance	
Investment	

(B) Assessed Professional Client under look through:

Requirement:

(1) where controller is Individual

(i) The controller of the Company / Undertaking should have Net Asset at least USD 1 million (excluding value of primary residence*), and

(ii) Experience in Financial Market and Financial Product

Asset / Investments details	Assets value (in USD Mil)	Loans / Mortgage / Leverage / Liability (in USD Mil)	Net assets value* (in USD Mil)

(2) where controller is another entity (i.e. Subsidiary/ Holding Company/Joint Venture): The controller has to meet one of the two criteria mentioned in above (A) or (C) below.

***Primary residence:** An individual's primary residence is excluded from the calculation of their net assets. If an individual who is an expatriate has a primary residence in his home country, such a residence should not generally be counted for the purposes of meeting the net asset test, particularly if the current residence in their host country is rented. However, if the current residence in the host country is owned by the individual, then that may be treated as their primary residence and the value of the residence in the home country of the individual may be counted for the purposes of meeting the net asset test, provided there is sufficient evidence of ownership and an objective valuation of the relevant premises.

(C) Deemed Professional (Please check relevant criteria, and provide supporting evidence):

☐ A supranational organisation whose members are either countries, central banks or national monetary authorities

☐ A properly constituted government, government agency, central bank or other national monetary authority of any country or jurisdiction

☐ A public authority or state investment body

☐ An Authorised Market Institution, Regulated Exchange or regulated clearing house

☐ An Authorised Firm, a Regulated Financial Institution or the management company of a regulated pension fund

☐ A Collective Investment Fund or a regulated pension fund

☐ A Large Undertaking*

☐ A Body Corporate whose shares are listed or admitted to trading on any exchange of an IOSCO member country

☐ An institutional investor whose main activity is to invest in financial instruments, including an entity dedicated to the securitisation of assets or other

☐ A trustee of a trust which has, or had during the previous 12 months, assets of at least USD10 million

☐ A holder of a licence under the Single-Family Office Regulations with respect to its activities carried on exclusively for the purposes of, & only in so far as it is, carrying out its duties as a Single-Family Office

***Large undertaking:** A Person is a Large Undertaking if it met, as at the date of its most recent financial statements, any two of the following requirements:

- (i) it has a balance sheet total of at least USD 20 million;
- (ii) it has a net annual turnover of at least USD 40 million; OR
- (iii) it has own funds or called up capital of at least USD 2 million.

Own funds: means cash and investments as shown in the balance sheet;

Share capital: means all the amounts paid-up on allotted shares, less any amounts owing on allotted shares.

CHANGE IN ADDRESS (PLEASE TICK MARK FROM BELOW OPTIONS)

- ☐ There is no change in Company's Mailing / Registered address
- ☐ I / We wish to change Company's Mailing / Registered address

If change in mailing / Registered address please provide the below:

Mailing Address:

Flat No./Bldg Name _____

Road Name _____

Landmark _____ City _____

Pin Code/ PO Box _____ State _____

Country _____ Country Code _____

Registered address:

Flat No./Bldg Name _____

Road Name _____

Landmark _____ City _____

Pin Code/ PO Box _____ State _____

Country _____ Country Code _____

CONTACT DETAILS

	Country	Area	Phone Numbers
Residential	_____	_____	_____
Mobile	_____	_____	_____
Office	_____	_____	_____
Fax	_____	_____	_____
Email ID	_____		

ACTIVATION OF DORMANT ACCOUNT

I/We confirm that I/We have not operated my / our company account(s) with Bahrain Branch for the following reasons _____

I/We request you to activate my / our company account/(s)

SR. NO.	ACCOUNT NO
1.	_____
2.	_____
3.	_____
4.	_____

Investor Classification

In reference to my relationship with Bahrain Branch would like to inform the bank on my / our classification as per guidelines issued by The Central Bank of Bahrain.

For Regulated banking services as outlined in the CBB rule books Licensing Requirements Module rule no. LR-1.3.1(h to l), Business Conduct Module and Collective Investment Undertakings Module, I / We

would like to declare my / our classification as under:

☐ I am / We are an Accredited Investor as per guidelines issued by The Central Bank of Bahrain

☐ I am / We are a Retail Investor as per guidelines issued by The Central Bank of Bahrain

Mark from below, as applicable

☐ I / We agree that as an Accredited Investor. I / We shall not benefit from the specific protections afforded to a Retail Investors. Collective Investment Undertakings are not subject to Deposit Protection scheme applicable to the Kingdom of Bahrain.

☐ I am / We are aware that only Accredited Investors can subscribe to Exempt Collective Investment Undertakings (CIUs). I am / We are aware of the higher risks involved in Exempt CIUs and will provide satisfactory documentation to the bank as required.

Note: Exempt CIUs are lightly regulated Collective Investment Undertakings that may generally be offered only to accredited investors or ultra-high net worth investors.

An Accredited Investor includes :

Accredited investors are defined as investors meeting the following criteria:

(a) Individuals who have a minimum net worth (or joint net worth with their spouse) of USD 1,000,000, excluding that person's principal place of residence;

(b) Companies, partnerships, trusts or other commercial undertakings, which have financial assets available for investment of not less than USD 1,000,000; or

(c) Governments, supranational organisations, central banks or other national monetary authorities, and state organisations whose main activity is to invest in financial instruments (such as state pension funds).

Individuals and commercial undertakings may elect in writing to be treated as accredited investors subject to meeting at least two of the following conditions:

☐ The investor has carried out trading/investing transactions, in significant size (i.e. value of transactions aggregating USD 200,000) over the last 12-month period; or

☐ The size of the investor's financial assets portfolio including cash deposits and financial instruments is USD 500,000 or more; and/or

☐ The investor works or has worked in the financial sector for at least one year in a professional position, which requires knowledge of the transactions or services envisaged (i.e. the position was professional in nature and held in a field that allowed the client to acquire knowledge of transactions or services that have comparable features and a comparable level of complexity to the transactions or services envisaged).

Retail Investor:

A retail investor means an investor who is not classified as an accredited investor or as an ultra-high net worth investor.

Personal Data Protection Law (PDPL)

I/We hereby grant HDFC Bank Limited (the Bank) and its authorised third-party processors consent to process my/our personal information/ data, for the purpose of;

Assessing my/our application and making decisions as to whether the HDFC Bank is able to provide me/us with the products/services I/We have requested.

I/We understand that this is necessary for the HDFC Bank's legitimate interests to process personal information for the purposes of assessing my/our application form.

I/We understand that my/our data is stored on secure systems within

I/We understand that my/our data is stored on secure systems within HDFC Bank premises and with providers of secure information storage facilities in India. The data will be retained for a period as defined by regulatory / legal requirements the bank is subject to.

☐ I/We understand that as a global business HDFC Bank may transfer my/our data outside Kingdom of Bahrain or to any other Country where Bank operates subject to the prevailing laws and Regulations.

☐ I/We understand that as a global business HDFC Bank may transfer my/our data outside DIFC or to any other Country where Bank operates subject to the prevailing laws and Regulations.

I/We understand that HDFC Bank may share my/our personal data with, credit reference agencies, regulatory agencies, law enforcement agencies and fraud prevention agencies for use in verifying my identity, credit decisions, regulatory requirement, legal investigation, fraud and money laundering prevention.

I/We understand that HDFC Bank will update me/us on required changes regarding servicing my/our account. HDFC Bank will communicate to me about the banking transactions through Phone/Mobile No./e-mail provided by me.

I/We understand that HDFC Bank will process my/our data for market research including statistical analysis of user behaviour which the Bank may disclose to third parties in aggregated form.

I/We understand that where I/We have provided consent I/We have the right to withdraw the consent at any time and that such withdrawal will not affect the data processing carried out prior to such withdrawal.

I/We understand that, HDFC Bank may send Newsletter or information about features, products and services, events and special offers related to their products and services I/We may be entitled to or about products and services available from the Bank that may be of interest to me etc.

Details of Controlling Persons

Sr. No.	Name & Address	Politically Exposed Person (PEP) or Close Relative of PEP (Yes/No). If Yes, Name of PEP

I/We prefer following mode of communication as indicated below (please tick the relevant boxes);

☐ Email ☐ Phone ☐ SMS ☐ No, I/We am/are not interested in receiving any such newsletter or information

I/We understand that, I/We can find a full description of what personal data the Bank collects and the purpose of collecting and processing in privacy notice hosted on the Bank's website <https://www.hdfcbankbahrain.com> and <https://www.hdfcbankdifc.com>

I/We hereby solely declare that the information provided above with respect to my account is correct. I/We hereby submitting scan copy of the following.

☐ EXISTENCE PROOF ☐ INCOME DOCUMENT ☐ ADDRESS PROOF (for change of address)

I/We confirm that I/We have understood and agree to be bound by the Terms and Conditions as amended and uploaded on respective branch websites www.hdfcbankbahrain.com and www.hdfcbankdifc.com

Authorised Signatory 1

Authorised Signatory 2

Authorised Signatory 3

Date: _____ Date: _____ Date: _____

Place: _____ Place: _____ Place: _____

RM Name: _____

Employee Code: _____

RM Signature