

CLIENT CLASSIFICATION ASSESSMENT AS PER DFSA CONDUCT OF BUSINESS MODULE

DIFC Branch



We understand your world

Application Date : / /

Please tick the appropriate classification:

I am an Individual who:

☐ i. has net assets of at least USD 1,000,000*;

AND

ii. I have been, in the previous two years, an Employee in a relevant professional position of a Regulated Financial Institution; or

iii. I have sufficient experience and understanding of relevant financial markets, products or transactions and any associated risks.

*Net Asset Net of Loan / mortgage/ leverage etc. and Excluding the value of Primary Residence Property.

We are an undertaking who:

☐ i. has "own fund" OR "Called up Capital" at least USD 1,000,000 (as appearing in latest available balance sheet)

ii. has sufficient experience and understanding of relevant financial markets, products or transactions and any associated risks.

OR

i. has one of the below Related party meets an "assessed professional client criteria" or "deemed professional client criteria"

a. A Controller,

b. A Holding company

c. A Subsidiary, Or

d. A Joint venture partner

☐ If an undertaking does not meet both criteria outlined above, please confirm that either a controller, a holding company, a subsidiary; or a joint venture partner meet the professional client criteria. Please clarify as follows

Name of related party:

Relationship with undertaking (e.g. controller):

*Owned Fund: Cash in hand + Investment

*Called up Capital: all the amount paid up on allotted shares less any amount owing on allotted share

I hereby consent to being treated as an Assessed Professional Client. HDFC Bank Limited DIFC Branch ("HDFC DIFC") does not offer financial services to Retail Clients. I therefore understand that I will not be afforded retail customer protections and compensation rights that may generally be available to me in other jurisdictions.

I confirm that the information provided by me above is accurate, correct, true and complete and I acknowledge that HDFC DIFC has full discretion to approve or to reject my application

I undertake to notify HDFC DIFC in writing at any time of any changes in any of the particulars or information provided by me under this Agreement, and to further provide HDFC DIFC with any information or documents it may request from time to time.

I have read and understood the terms and conditions under which HDFC DIFC is willing to offer its services

(1st Applicant Signature)

Client Name: _____

Date: _____

HDFC BANK LIMITED DIFC BRANCH IS REGULATED BY DFSA